

FUNDERSTONE

Funderstone Securities Limited / Funderstone Futures Limited

公司客戶資料更新表格 Corporate Client Information Update Form

本公司會根據表格載有的資料更新貴公司的客戶記錄，貴公司只須填寫要更新之項目(請劃去不適用之項目)。The information provided by the customer in this form will be used to update the Company's customer records. You only need to complete information field(s) where update(s) is/are necessary (please cross out any inappropriate items).

| 公司資料 Corporate Information | | | |
|----------------------------|--|------------------|--|
| 公司名稱 Company Name | | 賬戶號碼 Account No. | |
| 商業性質 Business Nature | | 電話號碼 Tel. No. | |
| 電郵地址 Email Address | | 傳真號碼 Fax No. | |

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| 互聯網交易服務 Internet Trading Service | <input type="checkbox"/> 申請 Application | <input type="checkbox"/> 取消 cancellation |
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| 收取賬戶結單方法 Statement Collection Method | <input type="checkbox"/> 電子郵件 by E-mail | <input type="checkbox"/> 郵寄 by Post |
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請在以下及背面表格註冊您的銀行賬戶資料，包括不同貨幣的相關賬戶號碼。此註冊賬戶列表中的銀行賬戶將用作款項轉移，否則我們必須要收到閣下簽署作實的書面授權通知，方能繼續處理。而在所列之銀行賬戶進行匯款給我們將獲加快處理。請提供銀行證明文件，該文件需清楚顯示銀行名稱、客戶姓名及銀行戶口號碼。Please list below and overleaf all bank accounts in your name that you wish to register with us, including different currency account numbers. Bank accounts on this list can be used to receive funds withdrawn from your Funderstone account, otherwise we will need signed written authorization from you. Remitting funds to us from these same accounts will also result in faster handling. Please provide relevant bank proof, such as a bank statement or notification that clearly displays the bank name, client name and bank account number.

| | | |
|-----------------------------|-------------------|---------------------|
| 銀行賬戶資料 Bank Account Details | 銀行名稱 Name of Bank | |
| 銀行賬戶名稱 Bank A/C Name | | |
| 賬戶貨幣 Account Currency | | 銀行賬戶號碼 Bank A/C No. |
| 賬戶貨幣 Account Currency | | 銀行賬戶號碼 Bank A/C No. |

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| 商業地址 Business Address | 如需更新地址，請提供最近三個月內發出之地址證明。(如銀行月結單/水電費單/電話費單)。 郵政信箱不能作為商業地址。 |
| 通訊地址 Correspondence Address | For update of business address, please provide the address proof (e.g. Bank statement/utility bill/telephone bill) issued within the latest 3 months. PO Box is not accepted as business address. |

| 公司董事 Director(s) | 董事姓名 Name of Director | 身分證/護照號碼 ID/Passport No. | 國籍 Nationality | 董事姓名 Name of Director | 身分證/護照號碼 ID/Passport No. | 國籍 Nationality |
|------------------|-----------------------|--------------------------|----------------|-----------------------|--------------------------|----------------|
| | 1. | | | 3. | | |
| 2. | | | 4. | | | |

請提供已核証有關更改董事文件、新董事之身分證或護照副本和最近三個月內之住址證明(如銀行月結單/水電費單/電話費單)。Please provide certified appropriate documents showing current list of Director(s), copies of new directors' ID/ passport and residential address proof (e.g. bank statement/utility bill/telephone bill) from within the last three months.

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|---|--------------------------|--------------------------|----------------|-------------------------|
| 股東 Shareholder(s) | 股東姓名 Name of Shareholder | 身分證/護照號碼 ID/Passport No. | 國籍 Nationality | 持股百分比 % of Shareholding |
| (不適用於上市公司 Not applicable to listed companies) | 1. | | | |
| | 2. | | | |

請提供已核証有關更改股東文件、新股東之身分證或護照副本和最近三個月內之住址證明(如銀行月結單/水電費單/電話費單)。Please provide certified appropriate documents showing current list of Shareholders and copies of ID/passport and residential address proof (e.g. Bank statement/utility bill/telephone bill) from within the last three months of the new shareholders.

| 最終控股公司/最終個人股東# Ultimate Holding Company/ Ultimate Individual Shareholder# | 姓名 Name | 身分證/護照號碼 ID/Passport No. | 國籍 Nationality | 持股百分比 % of Shareholding |
|---|---------|--------------------------|----------------|-------------------------|
| 1. | | | | |
| 2. | | | | |
| 最終權益擁有人# Ultimate Beneficial Owner (s)# | 1. | | | |
| | 2. | | | |

請提供有關個人之身分證或護照副本及最近三個月內之住址證明(如銀行月結單/水電費單/電話費單)。Please provide the copies of ID/ passport and from within the last three month address proof. (#此欄不適用於公開上市公司 Not applicable to publicly listed companies)

財政狀況及投資概況 Financial Status & Investment Profile

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| 法定股本 Authorized Share Capital | | 已發行股本 Issued Share Capital | |
| 估計資產淨值 Estimated Net Worth | | 估計上年度純利/虧損營業額 Estimated Net Profit / (Loss) for the last year | |

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| 投資目標 Investment Objectives | <input type="checkbox"/> 證券戶 For securities account | <input type="checkbox"/> 長線 Long Term | <input type="checkbox"/> 短線 Short Term | <input type="checkbox"/> 中線 Medium | <input type="checkbox"/> 股息回報 Dividend Yield |
| | <input type="checkbox"/> 所有投資戶 For all accounts | <input type="checkbox"/> 資本增值 Capital Gain | <input type="checkbox"/> 對沖 Hedging | <input type="checkbox"/> 投機 Speculation | |

必須列出所有擁有公民身份之國家 Country(ies) of Citizenship (must list all)
 中國 China (PRC) 美國 USA 其他 Other: _____

Country/Region of Legal Residence 擁有合法居民身份之國家/地區
 香港 HKSAR 其他 Other: _____

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公司蓋章及授權簽署 Company Chop & Authorized Signatory (必須與本公司之紀錄相同 must be the same as our record) 日期 Date (日 day / 月 month / 年 year)

其他銀行賬戶資料 Other Bank Account Details

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| 銀行名稱 Name of Bank | | | |
| 銀行賬戶名稱 Bank A/C Name | | | |
| 賬戶貨幣 Account Currency | | 銀行賬戶號碼 Bank A/C No. | |
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| For Company use only | Date / Time | SV By | Reviewed By | Input By | Checked By |
| <input type="checkbox"/> Original / Fax | | | | | |
| <input type="checkbox"/> Telephone (Confirmed by A/E:) | | | | | |